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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mark Ammar Rayes, et al.

Serial No.: 10/797,773

Filed on: March 9, 2004

) Confirmation No.: 4164  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2131  
)

For: ISOLATION APPROACH FOR NETWORK USERS ASSOCIATED WITH  
ELEVATED RISK

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X   37 C.F.R. §1.97(b).  
       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:  
       A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.


- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: December 3, 2004

  
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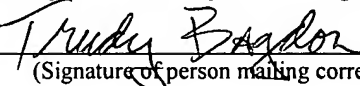
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(Date of Deposit)

Trudy Bagdon

(Typed or printed name of person mailing correspondence)

  
(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

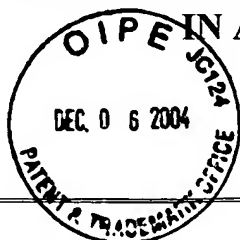
ATTY. DOCKET NO.  
50325-0865

SERIAL NO.  
10/797,773

APPLICANT:  
Mark Ammar Rayes, et al.

FILING DATE:  
March 9, 2004

GROUP:  
2131



## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,787,161		Rayes, et al.	07-28-1998	
		5,933,645		Wallack	08-03-1999	
		6,038,322		Harkins	03-14-2000	
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		6,119,231		Foss, et al.	09-12-2000	
		6,131,163		Wiegel	10-10-2000	
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		6,215,878	B1	Harkins	04-10-2001	
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		6,301,613	B1	Ahlstrom, et al.	10-09-2001	
		6,301,668	B1	Gleichenauf, et al.	10-09-2001	

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

Examiner  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 50325-0865		<b>SERIAL NO.</b> 10/797,773	
				<b>APPLICANT:</b> Mark Ammar Rayes, et al.			
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		Number	Kind Code <sup>2</sup> (If known)			
		6,324,656	B1	Gleichauf, et al.	11-27-2001	
		6,327,618	B1	Ahlstrom, et al.	12-04-2001	
		2001/0051865	A1	Kerr, et al.	12-13-2001	
		6,347,339	B1	Morris, et al.	02-12-2002	
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		6,427,174	B1	Sitaraman, et al.	07-30-2002	
		6,434,700	B1	Alonso, et al.	08-13-2002	
		6,463,061	B1	Rekhter, et al.	10-08-2002	
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		Number	Kind Code <sup>2</sup> (If known)				
		6,477,651	B1	Teal	11-05-2002		
		6,483,921	B1	Harkins	11-19-2002		
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		Number	Kind Code <sup>2</sup> (If known)			
		6,567,917	B1	Ziese	05-20-2003	
		2003/0105866	A1	Colrain, et al.	06-05-2003	
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		Number	Kind Code <sup>2</sup> (If known)			
		6,651,096	B1	Gai, et al.	11-18-2003	
		2003/0217145	A1	Leung, et al.	11-20-2003	
		2003/0217180	A1	Chandra, et al.	11-20-2003	
		6,658,002	B1	Ross, et al.	12-02-2003	
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		6,680,998	B1	Bell, et al.	01-20-2004	
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<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary)		<b>Attorney Docket No.:</b> 50325-0865	<b>Application Number:</b> 10/797,773
		<b>First Named Inventor:</b> Mark Ammar Rayes	
		<b>Filing Date:</b> March 9, 2004	
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Cisco Systems, Inc., "Release Notes for Cisco Content Routing Software, Release 1.1," 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm">http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm</a> , data retrieved 2/11/04, pp.1-19.	
		Cisco Systems, Inc., "IP Source Tracker," 2003, <a href="http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm">http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm</a> , data retrieved 2/11/04, pp. 1-15.	
		Cisco Systems, Inc., "Configuring Denial of Service Protection," 1992-2003, <a href="http://www.cisco.com/univercd/cc/td/doc/product/core/cis/7600/software/121e/swcg/dos.htm">http://www.cisco.com/univercd/cc/td/doc/product/core/cis/7600/software/121e/swcg/dos.htm</a> , data retrieved 2/11/04, pp. 1-7.	
		Cisco Systems, Inc., "Cisco IOS Software Release 12.3(4)T: New Security Features and Hardware," 1992-2003, <a href="http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm">http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm</a> , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "Characterizing and Tracing Packet Floods Using Cisco Routers," 1992-2003, <a href="http://www.cisco.com/warp/public/707/22.html">http://www.cisco.com/warp/public/707/22.html</a> , data retrieved 2/11/04, pp. 1-9.	
		Cisco Systems, Inc., "Release Notes for Cisco Cache Engine 500 Series, Software Version 2.1.0," 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/product/webscale/webcache/ce21/rn/ce21.htm">http://www.cisco.com/univercd/cc/td/doc/product/webscale/webcache/ce21/rn/ce21.htm</a> , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "SAFE Nimda Attack Mitigation," 1992-2003, <a href="http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm">http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm</a> , data retrieved 2/11/04, pp. 1-6.	
		Cisco Systems, Inc., "Cisco IOS Software Release 11.3 New Features," 1992-2002, <a href="http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm">http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm</a> , data retrieved 2/11/04, pp. 1-45.	
		Cisco Systems, Inc., "Monitoring the System," Chapter 2, Cisco ICS 7750 Administration and Troubleshooting Guide, 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm">http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm</a> , data retrieved 2/11/04, pp. 2-1 – 2-28.	

Examiner Signature		Date Considered	
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	First Named Inventor: Mark Ammar Rayes	
	Filing Date: March 9, 2004	

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Cisco Systems, Inc., "Monitoring," Chapter 13, VPN 3002 Hardware Client User Guide, 1989-2000, <a href="http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm">http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm</a> , data retrieved 2/11/04, pp. 13-1 – 13-47.	
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